

1 On the activation and passivation of precursors for process-induced 2 positive charges in Hf-dielectric stacks

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9 Hf-based dielectric stack is replacing SiON as gate dielectric even though our understanding of it is
10 incomplete. It has been reported that a thermal exposure above 450 °C can lead to positive charging
11 in both unoptimized SiO₂ layer and Hf-based dielectric stack. At present, there is little information
12 on how this process-induced positive charging (PIPC) occurs in the Hf-based stack and how to
13 suppress it. The objective of the current work is to improve our understanding by addressing three
14 key issues. First, the activation of PIPC precursors after device fabrication is investigated and it will
15 be shown that the loss of certain species from the gate edge through lateral diffusion is responsible
16 for it. Second, the passivation of the precursor is studied and the relevant species are explored. It is
17 found that both water- and chlorine-related species play a role. Finally, the reactivation of the
18 passivated precursor is examined and the results show that it is not thermally accelerated. © 2009
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21 I. INTRODUCTION

22 With the continuous downscaling of transistor size, Hf-
23 based dielectric stack and metal gate are replacing the con-
24 ventional SiON and polysilicon gate to satisfy gate leakage
25 current requirement for complementary metal-oxide-
26 semiconductor (CMOS) technologies. In comparison with a
27 state-of-the-art SiON layer, Hf-based stacks have many prob-
28 lems, such as high defect density,¹⁻³ low carrier mobility,^{4,5}
29 process integration,^{6,7} and reduced device lifetime.^{8,9} To
30 some extent, a Hf-based stack behaves like an unoptimized
31 SiO₂ film.^{10,11}

32 For an unoptimized SiO₂, it was reported that exposure
33 to H₂ at a temperature of 450 °C or above could lead to
34 substantial positive charging in the oxide.¹²⁻¹⁶ This process-
35 induced positive charging (PIPC) has been observed for both
36 the buried oxide in a silicon-on-insulator structure^{13,14} and
37 gate SiO₂.^{12,16} It is typically absent for a device-grade SiO₂.
38 For high-*k* dielectrics, PIPC has been reported for both the
39 Al-based⁷ and Hf-based^{17,18} dielectric layers. It is generally
40 accepted that PIPC is hydrogen related.¹²⁻¹⁸ Hydrogen can
41 be supplied either externally from ambient gas or internally
42 from the prestored hydrogenous species within the device.
43 The latter is responsible for the PIPC when Hf stack was
44 exposed to N₂.^{17,18} PIPC has been observed in samples with
45 different Hf concentrations, gate materials, and conduction
46 channel polarities.^{17,18}

47 Despite the early efforts, there are many questions re-
48 maining to be answered for the PIPC in Hf-based stacks. One
49 of them is that PIPC can be absent in a device immediately
50 after its fabrication, although it was annealed in forming gas

at 520 °C. When the same device was annealed again at **51**
500 °C after several months, substantial PIPC occurs. The **52**
precursor responsible for PIPC must be activated somehow **53**
during the storage and there is no information on it at **54**
present. Central to this work is to investigate the activation **55**
and passivation of PIPC precursors. It will be shown that **56**
certain species can diffuse out of the stack from the gate **57**
edge during storage, resulting in the activation. Adding H₂O- **58**
and Cl-related species to the sample passivates the precursor, **59**
and the passivation is insensitive to the device fabrication **60**
conditions. The passivation, however, is not permanent and **61**
the precursor can be reactivated. It is found that the reacti- **62**
vation is not a thermally accelerated process. **63**

II. DEVICES AND EXPERIMENTS **64**

A. Devices **65**

Metal-oxide-semiconductor field effect transistors **66**
(MOSFETs) fabricated from four different batches were used **67**
in this work. Fabrication typically starts with an IMEC clean **68**
and a 0.4–1 nm chemical SiO₂.¹⁹ This interfacial layer was **69**
nitrided in NH₃ at 900 °C for 60 s before depositing HfO₂. **70**
Both HfO₂ and Hf silicates were prepared by atomic layer **71**
deposition with an equivalent oxide thickness (EOT) in the **72**
range from 0.96 to 1.3 nm. The silicates have Hf concentra- **73**
tion between 23% and 70% and were nitrided in NH₃ at **74**
800 °C for 60 s. A 1000 °C spike was typically used to ac- **75**
tivate the source and drain, but there are also samples where **76**
the activation was by solid phase epitaxial regrowth (SPER) **77**
at 650 °C for 1 min. The gate material is either poly-Si or **78**
TaTiN, and the devices received a forming gas anneal at **79**
520 °C for 20 min. The channel length and width are **80**
0.14–10 μm and 10 μm, respectively. Details of the devices **81**
used for each test are given in figure legends or captions. **82**

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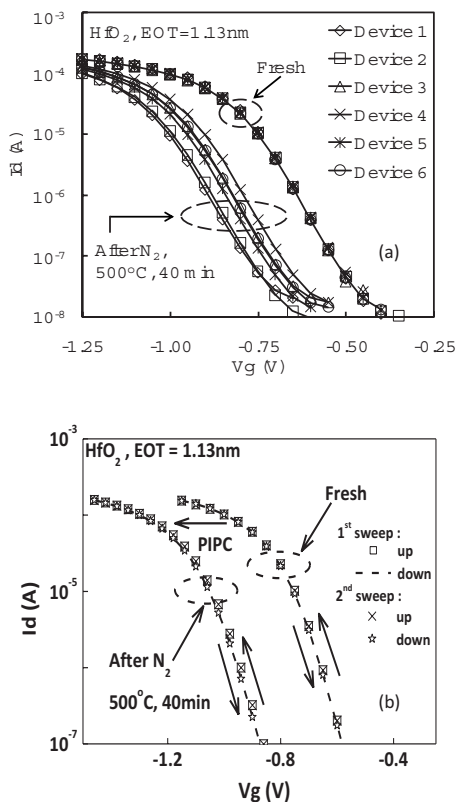


FIG. 1. PIVC in Hf-based dielectric stack. (a) shows that, in the fresh samples, there is little PIVC and the sample-to-sample variation is negligible. After a thermal exposure in N_2 at $500^\circ C$ for 40 min, the negative shift indicates PIVC formation that has a large sample-to-sample variation. (b) shows that the charge trapping induced hysteresis between the up- and down-traces of gate bias sweep is insignificant when compared with PIVC. The difference between the first and second V_g sweep is negligible. The p MOSFET has a TaTiN gate and was nitrified in NH_3 at $900^\circ C$ for 60 s before depositing a 2 nm HfO_2 layer.

83 B. Experiments

84 The test sequence starts with measuring the transfer
 85 characteristics (TCs) at a drain bias of 0.1 V. The device was
 86 then exposed to a typical temperature of $500\text{--}550^\circ C$ for
 87 40–160 min in N_2 . After the exposure, TC was measured
 88 again and the shift in TC, ΔV_{th} , was obtained by the standard
 89 extrapolation method.²⁰ Since PIVC generally has a consid-
 90 erable sample-to-sample variation,^{16–18} the ΔV_{th} after ther-
 91 mal exposure was typically measured on 6–24 MOSFETs of
 92 the same sizes. When investigating the effect of channel
 93 length on PIVC, the average value, $\Delta V_{th,av}$, was used to sup-
 94 press the sample-to-sample variation.

95 III. RESULTS AND DISCUSSIONS

96 A. Activation and passivation of PIVC precursors

97 Figure 1(a) compares the transfer characteristics before
 98 and after thermal exposure at $500^\circ C$. The negative shift
 99 indicates the PIVC in gate dielectric during the thermal ex-
 100 posure and the large sample-to-sample variation is a feature
 101 of PIVC.^{16–18} Before this thermal exposure, the sample-to-
 102 sample variation is negligible and the measured threshold
 103 voltage agrees with its theoretical value, confirming insignif-
 104 icant PIVC in “fresh” samples. To show that the PIVC
 105 observed here is different from the stress-induced positive

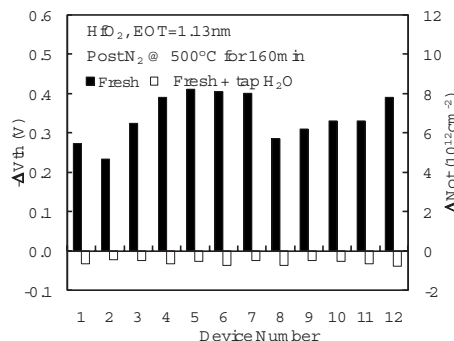


FIG. 2. Passivation of PIVC precursors. The solid bars represent results when samples were exposed to N_2 at $500^\circ C$ for 160 min. For the empty bars, the samples were first immersed in tap H_2O for 90 min at $100^\circ C$ and then subjected to the same thermal exposure at $500^\circ C$ for 160 min in N_2 . The ΔN_{ot} is the effective charge density by assuming all charges at the dielectric/substrate interface. The device fabrication is the same as that in Fig. 1.

charge trapping,^{10,11} Fig. 1(b) compares the PIVC and the
 trapping-induced hysteresis. It is clear that trapping is insignif-
 icant under our test conditions. Figure 1(b) also shows that
 there is little difference between the initial and a subsequent
 gate bias sweep.

A key question is why was the PIVC not formed when
 the fresh devices in Fig. 1 already received a standard
112 AQ: #1
 $520^\circ C$ forming gas anneal at the end of the fabrication pro-
 cess. The device must be changed after the fabrication in
 some way. Our first thought is that the sample could be con-
 taminated by water-related species, since they were stored in
 room air without capsulation for several months before the
 experiment in Fig. 1. To test it, an accelerated contamination
 experiment was designed to increase water contamination. In
 Fig. 2, two groups of devices were used: one was annealed
 directly in N_2 at $500^\circ C$ for 160 min (solid bars), while the
 other was first immersed in tap water for 90 min at $100^\circ C$,
 to accelerate the contamination, before going through the
 same thermal exposure (empty bars). Against our expectation
 of an increase in PIVC, Fig. 2 shows that PIVC was actually
 suppressed after immersing devices in tap water.

To explain the above surprising phenomenon, we assume
 that the precursor for PIVC is A, although its microscopic
 structure is not known. During fabrication, some A–X bonds
 were formed in the dielectric and the precursor is inactive, so
 that PIVC is insignificant, as illustrated in Fig. 3(a). The A–X

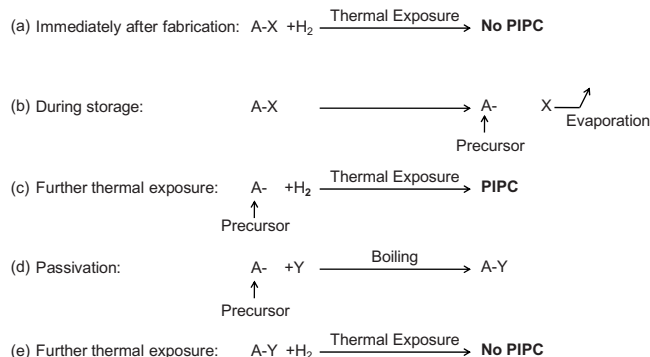


FIG. 3. A schematic illustration of the reactions for different stages in the formation and passivation of PIVC and its precursors.

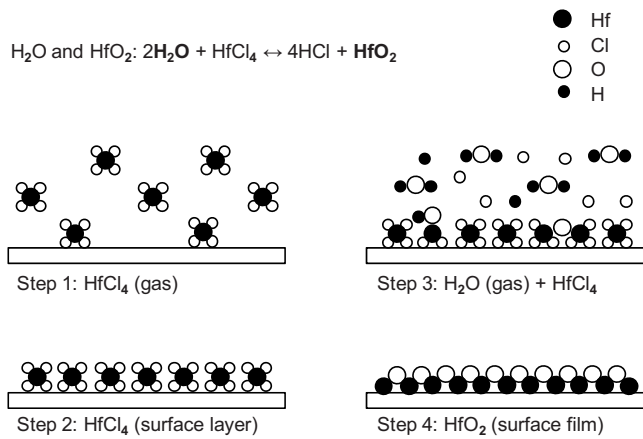


FIG. 4. A schematic illustration of the deposition process of Hf-dielectric layer. H_2O and HfCl_4 are the main ingredients in forming HfO_2 .

132 bond may not be stable and could be broken during the stor-
133 age. Figure 3(b) shows that the X species could evaporate
134 and leave A behind. In this way, the precursor is activated
135 and reacts with H_2 to form PIPC during a subsequent thermal
136 exposure, as indicated in Fig. 3(c). When a sample is im-
137 mersed in tap water, some species Y may enter the device
138 and passivate the precursor by forming A - Y bond, as illus-
139 trated in Fig. 3(d). Figure 3(e) illustrates why PIPC is negli-
140 gible after immersing the sample in tap water.

141 B. The X and Y species

142 In order to explore the species X and Y , we can look at
143 how the Hf dielectric layer was deposited. Figure 4 shows a
144 typical process flow,²¹ where a surface layer was first depos-
145 ited by HfCl_4 before the addition of H_2O to form HfO_2 . As a
146 result, the species X and Y could be H_2O or Cl related. To
147 test whether H_2O alone is sufficient for passivating the pre-
148 cursor, we replaced tap water by de-ionized water. By using
149 the same test procedure as that in Fig. 2, Fig. 5(a) shows that
150 when the thermal exposure was 40 min, the passivation was
151 effective. However, if the thermal exposure was extended to
152 160 min, the passivation became ineffective, as shown in
153 Fig. 5(b). As a result, the passivation after immersing in de-
154 ionized water is less stable than that after immersing in tap
155 water, indicating H_2O alone is less effective.

156 Since chloride is one ingredient in Hf-dielectric fabrica-
157 tion, one may expect that Cl-related species are also involved
158 in the passivation. The tap water can contain some chloride
159 that was removed in the de-ionized water, resulting in the
160 difference between Figs. 2 and 5(b). To test this assumption,
161 in the experiment of Fig. 6, we added chloride to the de-
162 ionized water through NaCl. The empty bars in Fig. 6 show
163 that the passivation remains effective after the thermal expo-
164 sure was extended to 160 min, confirming the expectation.
165 This makes us believe that the species X and Y are related to
166 H_2O and Cl, although we do not know their detailed struc-
167 ture at present. It was reported that sodium can contaminate
168 the sample and cause additional positive charging.²² The
169 PIPC passivation observed in Fig. 6 after exposing to NaCl,
170 however, shows that sodium does not introduce positive
171 charges under our test conditions.

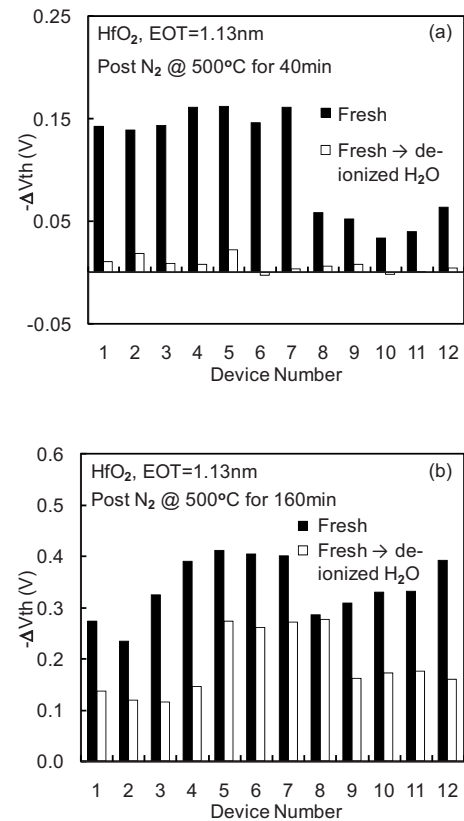


FIG. 5. Passivation by de-ionized water at 100°C for 90 min. The thermal exposure was at 500°C in N_2 for (a) 40 min and (b) 160 min. The empty and solid bars represent results with and without passivation, respectively. Although the passivation is effective at short time in (a), PIPC became substantial after an extended exposure in (b). The device fabrication is the same as that in Fig. 1.

C. Insensitivity of the passivation to fabrication conditions

The sample used up to now is HfO_2/SiON with a TaTiN gate and a spike activation at 1000°C . Results show that PIPC can also occur in Hf-silicates (Fig. 7), polysilicon gated devices (Fig. 8), and samples activated by SPER at 650°C (Fig. 9). It is interesting to examine whether PIPC precursors can also be effectively passivated in these samples. Figures 7-9 confirm that the passivation is equally effective, so that the passivation is insensitive to the sample fabrication conditions.

D. Out-diffusion path for the species X

As illustrated by Figs. 3(a)-3(c), the diffusion of species X away from the dielectric activates the PIPC precursor, and we investigate the diffusion path here. There are two possible paths: one is vertical through the gate or substrate and the other is lateral through the edge of the gate dielectric. If species X diffused away vertically, one would expect that PIPC is insensitive to channel length. Figure 10, however, shows that PIPC decreases monotonously as channel length increases, against the assumption of a vertical path.

Figure 11 illustrates that the channel length dependence can be explained by a lateral diffusion through the edge. Immediately after fabrication, X exists throughout the channel [Fig. 11(a)]. During storage, X near the edge will diffuse

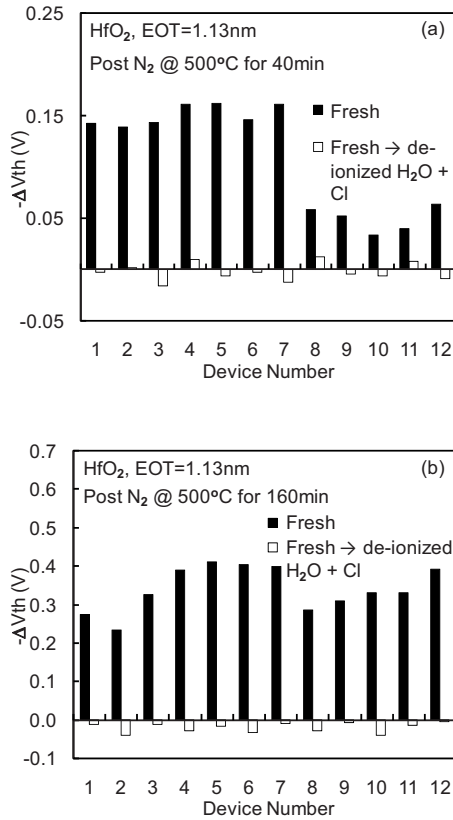


FIG. 6. Passivation by de-ionized water and chloride at 100 °C for 90 min. The thermal exposure was at 500 °C in N₂ for (a) 40 min and (b) 160 min. The empty and solid bars represent results with and without passivation, respectively. The passivation remains effective after 160 min exposure at 500 °C. The device fabrication is the same as that in Fig. 1.

197 away first and leave the activated precursors behind, as rep-
 198 resented by the symbol “○” in Fig. 11(b). For a short chan-
 199 nel device, a high percentage of the channel is activated.
 200 During the subsequent thermal exposure, the precursor be-
 201 comes positively charged (symbol “●”), as shown in Fig.
 202 11(c). It is clear that a short channel device has a high per-
 203 centage of the channel with PIPC and this explains its larger
 204 ΔV_{th} in Fig. 10.

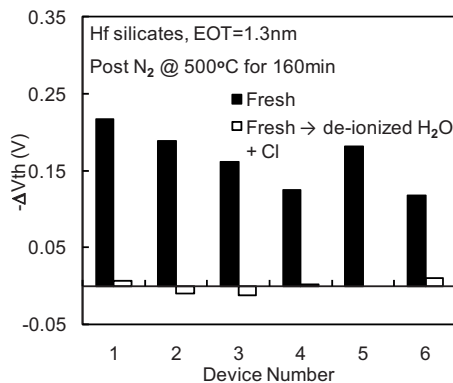


FIG. 7. Passivation by de-ionized water and chloride at 100 °C for 90 min. The thermal exposure was at 500 °C in N₂ for 160 min. The empty and solid bars represent results with and without passivation, respectively. The pMOSFET has a TaTiN gate. The Hf silicate is 1 nm with 70% Hf, nitrided in NH₃ at 800 °C for 60 s.

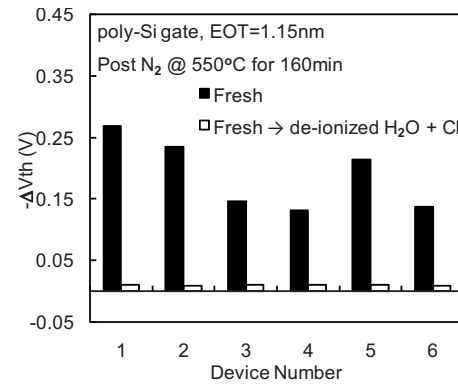


FIG. 8. Passivation by de-ionized water and chloride at 100 °C for 90 min. The thermal exposure was at 500 °C in N₂ for 160 min. The empty and solid bars represent results with and without passivation, respectively. The nMOSFET has a poly-Si gate and a 1.5 nm Hf silicate with 23% Hf, nitrided in NH₃ at 800 °C for 60 s.

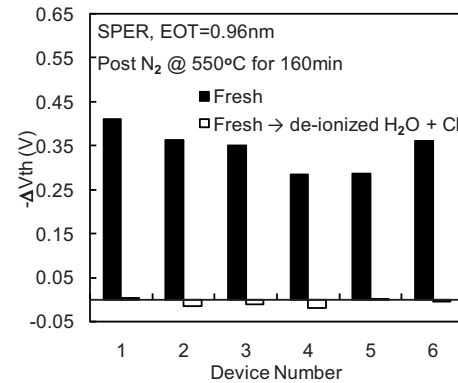


FIG. 9. Passivation by de-ionized water and chloride at 100 °C for 90 min. The thermal exposure was at 500 °C in N₂ for 160 min. The empty and solid bars represent results with and without passivation, respectively. The nMOSFETs have a 2 nm HfO₂ and a TaTiN gate. Unlike the other samples used in this work, the source and drain were activated by SPER at 650 °C for 60 s.

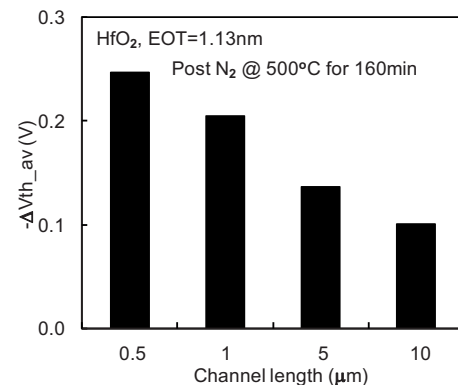


FIG. 10. Effects of channel length on PIPC formation. For each channel length, the averaged shift, $\Delta V_{th,av}$, was obtained on 24 different samples. The thermal exposure was in N₂ at 500 °C for 160 min. The sample used is the same as that in Fig. 1.

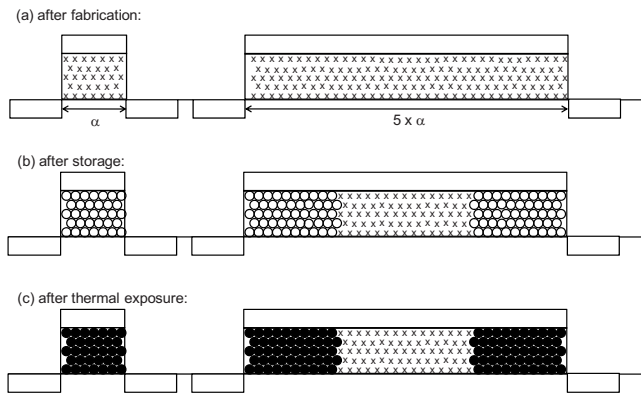


FIG. 11. A schematic illustration of the channel length dependence of the PIPC. (a) shows the case immediately after device fabrication. Symbol “X” represents a passivated PIPC precursor. The symbol “O” in (b) represents an activated PIPC precursor after X diffused out through the gate edge. The symbol “●” in (c) represents PIPC after a thermal exposure.

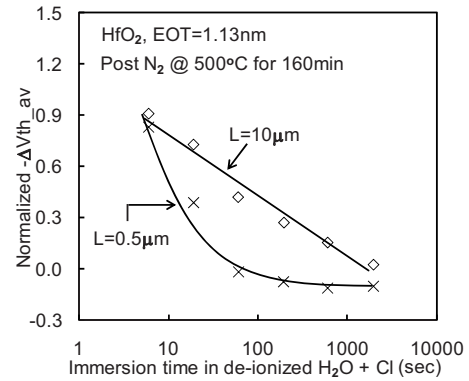


FIG. 13. Passivation kinetics for long ($L=10\mu m$, symbol “ \diamond ”) and short ($L=0.5\mu m$, symbol “ \times ”) channel devices. For a given passivation time, the averaged shift, $\Delta V_{th,av}$, was normalized against the one without passivation. Lines serve as a guide for the eye. The sample used is the same as that in Fig. 1.

205 E. In-diffusion path for the species Y

206 After examining the out-diffusion path of X for precursor **207** activation, we now study the in-diffusion path of species Y **208** for precursor passivation. Y can diffuse into the device either **209** vertically or laterally, and we again use the channel length **210** dependence to determine the path.

211 In Figs. 6–9, samples were immersed in H₂O and Cl **212** solution for 90 min and full passivation was observed for **213** both short and long channel devices. This can be achieved by **214** in-diffusion either vertically or laterally, so that conclusion **215** cannot be drawn. To determine the path, the immersion time **216** must be reduced to a level such that full passivation is not **217** reached for all devices. Figure 12 shows that an immersion **218** time of 6 s is too short for the in-diffusion. When increased **219** to 60 s, the passivation is completed for the shortest channel **220** ($L=0.5\mu m$), but the normalized ΔV_{th} now increases with **221** channel length. Figure 13 gives a more detailed dependence **222** of passivation on the immersion time, and the shorter device **223** clearly needs less time. This channel length dependence sup- **224** ports the lateral in-diffusion of Y. As illustrated in Figs.

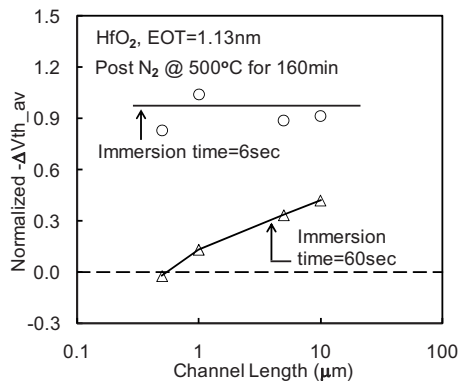


FIG. 12. Effects of channel length on PIPC precursor passivation. Three groups of devices were used. One group was not passivated. The other two went through the passivation step in de-ionized water and chloride at 100 °C with passivation times of 6 and 60 s, respectively. For each channel length, the averaged shift, $\Delta V_{th,av}$, was normalized against the one without passivation. The thermal exposure was in N₂ at 500 °C for 160 min for all three groups. The sample used is the same as that in Fig. 1.

14(a)–14(c), for a given immersion time such as 60 s, the **225** passivation is completed for the short channel but not for the **226** long channel. **227**

F. Reactivation after passivation

We propose that the out-diffusion of species X during **229** storage activated the precursor and that in-diffusion of spe- **230** cies Y passivated it. One may ask whether X and Y are the **231** same species and if the passivated precursor A–Y can also be **232** reactivated like the original A–X. In Fig. 15, two groups of **233** samples were passivated. One of them was exposed at **234** 500 °C immediately after passivation, while the other was **235** stored in room temperature for 30 days before receiving the **236** same thermal exposure. As expected, PIPC was negligible **237** for the devices immediately exposed after passivation **238** (stripped bars). However, significant PIPC occurred after a **239** storage of 30 days (solid bars). This indicates that, like the **240** original species X, the species Y can also diffuse out during **241** storage. Despite their similar properties, the lack of knowl- **242** edge on their microscopic structure does not allow us to **243** draw a definitive conclusion on whether these two are identi- **244** cal. **245**

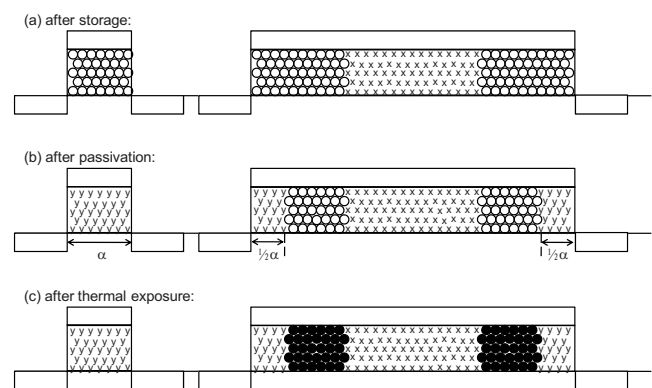


FIG. 14. A schematic illustration of the channel length dependence of the precursor passivation. (a) shows the case after the precursor is activated during the storage. The symbols “O” and “X” represent an activated and passivated precursor, respectively. The symbol “y” in (b) represents a repassivated precursor. The symbol “●” in (c) represents positive charges after a thermal exposure.

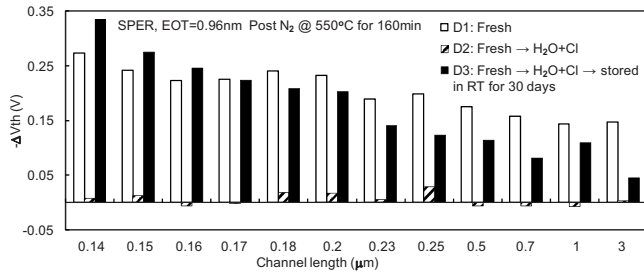


FIG. 15. Reactivation of PIPC precursors after passivation. Three groups of devices were used. One of them (D1, empty bars) was not passivated, but the other two were passivated in de-ionized water and chloride at 100 °C for 90 min. After the passivation, one group (D2, striped bars) was immediately exposed to N₂ at 550 °C for 160 min. After storage at room temperature in air for 30 days, the last group (D3, solid bars) was also exposed to N₂ at 550 °C for 160 min.

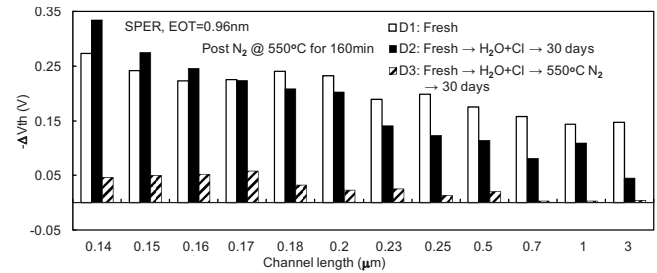


FIG. 18. Effects of thermal energy on the passivated precursor bonds. Three groups of devices were used. One of them (D1, empty bars) was not passivated, but the other two were passivated in de-ionized water and chloride at 100 °C for 90 min. After the passivation, one group (D3, striped bars) was immediately exposed to N₂ at 550 °C for 160 min, while the other (D2, solid bars) was not. Both groups were then stored at room temperature and air for 30 days before they were exposed to N₂ at 550 °C for 160 min. The sample used is the same as that in Fig. 9.

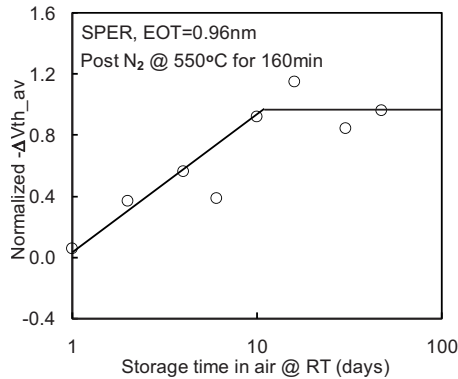


FIG. 16. Effects of storage time on the precursor reactivation. Eight groups of devices were passivated and then stored at room temperature for 1, 2, 4, 6, 10, 16, 30, and 47 days, respectively. After the storage, all samples were exposed to N₂ at 550 °C for 160 min. Lines serve as a guide to the eye. The samples used are the same as that in Fig. 9.

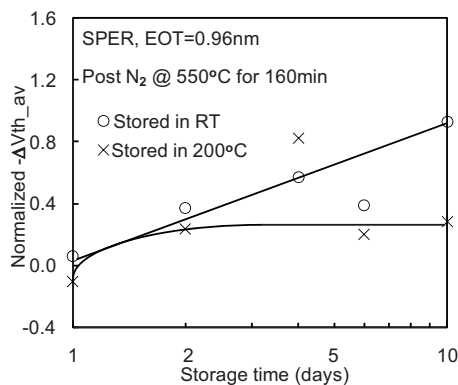


FIG. 17. Effects of storage temperature on the precursor reactivation. Ten groups of devices were passivated. Half of them were then stored in room temperature, and the other half stored in 200 °C for 1, 2, 4, 6, and 10 days, respectively. After the storage, all samples were exposed to N₂ at 550 °C for 160 min. Lines serve as a guide to the eye. The samples used are the same as that in Fig. 9.

To characterize the out-diffusion kinetics of species Y, the same experiment as in Fig. 15 was carried out with another seven groups of devices with storage times of 1, 2, 4, 6, 10, 16, and 47 days, respectively. Figure 16 shows that a full dissociation was reached in about 10 days.

We now explore the effects of storage temperature on precursor reactivation. Passivated devices were stored at either room temperature (RT) or 200 °C for 1, 2, 4, 6, and 10 days. Figure 17 shows that, within our experimental resolution, the reactivation is not thermally accelerated. To further explore this issue, one group of devices was exposed at 550 °C immediately after the passivation step. The devices were then stored in RT for 30 days before a second 550 °C exposure. Figure 18 shows that the PIPC became substantially smaller. This suggests that A–Y bonds became more stable after the prestorage 550 °C exposure, so that their dissociation during the storage was slowed down.

IV. CONCLUSION

This work investigates the activation and passivation of precursors for the PIPC in a Hf-based dielectric stack. Although the precursor is inactive and PIPC is not formed during the device fabrication, some species X can diffuse out the dielectric stack during the storage, leading to the activation of PIPC precursors. The precursor can be passivated by the species Y after immersing the sample in H₂O and chloride solution. This passivation is insensitive to the device fabrication conditions.

The diffusion path of species X and Y is explored by investigating its channel length dependence. The results show that the species X diffuses laterally through the edge of the gate dielectric, rather than vertically through the gate, during the storage. During passivation, the species Y also enters the gate dielectric laterally through the edge. Although the lack of knowledge on the microscopic structure of X and Y does not allow us to conclude that they are the same, we found that they have similar properties. Like X, Y can also be lost during storage, resulting in the reactivation of the precursor. The reactivation is not thermally accelerated, and results indicate that the passivation bonding becomes more stable at higher temperature.

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